Capacity Building in Higher Education

Key data for capacity building projects

DAAD event - 09/11/2021 - 14:05-14:35

European Education and Culture
Executive Agency

Anastasios TSIRAKIDIS
EACEA.A.4

Context (1)

➤ The CBHE action supports the relevance, quality, modernisation and responsiveness of Higher Education Institutions and systems **in third countries not associated to the Erasmus+ Programme** for socio-economic recovery, growth and prosperity.

➤ It reacts to recent trends, in particular economic globalisation but also the recent decline in **human development, fragility,** and **rising social, economic** and **environmental inequalities** exacerbated by the COVID-19 crisis.

➤ It is aligned with the **'Sustainable Development Goals' (SDGs)** and the **Paris Agreement.**

➤ It puts focus on **inclusion, accessibility** and **equity**

European Commission

Context (2)

➢ The action will ensure **equity** and **inclusion**, system strengthening and **capacity building,** as well as **employability** transversally across the action.

➢ **Global interventions** that no longer address only the modernisation of teaching programmes per se, but should also take into account governance, management and the **strengthening of higher education's wider economic and social ecosystems**.

➢ Addressing **regional issues**, building alliances and coalitions, piloting new approaches and initiatives built on **country ownership** will be strongly encouraged.
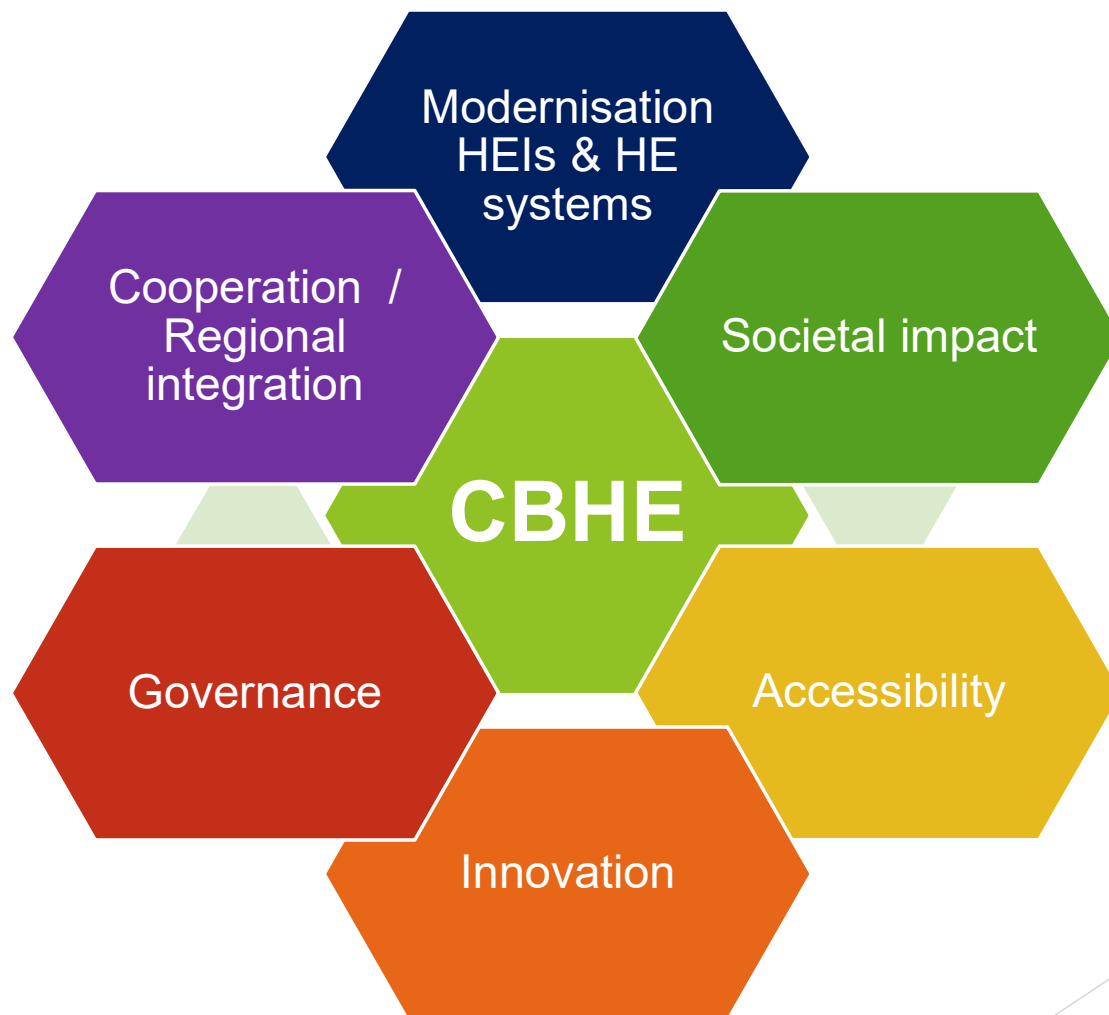
European Commission

Purpose

Focus on the needs of the third countries not associated to the Erasmus+ Programme

Targeting the priorities of the third countries not associated to the Erasmus+ Programme and matching them with the EU priorities for these countries

Maximising benefit to third countries not associated to the Erasmus+ Programme

European Commission

Objectives

- Modernisation HEIs & HE systems
- Societal impact
- Cooperation / Regional integration
- CBHE
- Accessibility
- Governance
- Innovation

European Commission

New features

Three strands
- **a new type of support**

New budget system
- **Lump sums II**

New publication system
- **FTOP**

European Commission

Three strands

Strand 1
Fostering access to cooperation in Higher Education

Facilitate access to **newcomers**.

First step for participating organisations to **enhance** and **increase** means to reach out to **people with fewer opportunities**.

Reduce the internationalisation gap of HEIs from the same country/region.

Fostering **social inclusion**.

European Commission

Strand 1
Fostering access to cooperation in Higher Education

Target groups

- HEIs from least developed countries

- HEIs located in remote regions/areas

- Newcomers or less experienced

- Individuals with fewer opportunities

Activities

- Enhance management/administrative capacity

- Ensure high quality and relevant education

- Increasing the accessibility of the students/staff with fewer opportunities

Funding and duration

- 24 or 36 months

- 200.000 and 400.000 Euro

European Commission

Strand 2
Partnerships for transformation

Innovation with business involvement to maximize societal impact

Modernising HEIs by promoting reform

Introducing practical learning schemes with a link to business

Implementation of new learning methods

Innovative curricula linked to business

Introducing practical learning schemes

Reform governance and management at HEIs

European Commission

Strand 2
Partnerships for transformation

Target groups

- HEIs

- Local actors with a link to industry

- Individuals – students, staff, learners

- Bodies responsible for HE at local and national level

Activities

- Innovation in higher education

- Promoting reforms in HEIs

Funding and duration

- 24 or 36 months

- 400.000 and 800.000 Euro

European Commission

Strand 3
Structural Reform projects

Mutual learning between public authorities of the countries associated to the Programme and those of the third countries	Promote inclusive Higher Education systems	Increase capacities of bodies in charge of Higher Education	Identify synergies with ongoing EU initiatives
Foster national ownership	Efficient and effective policy making	Foster common regional strategies in Higher Education	Introduction of funding mechanisms

European Commission

Strand 3
Structural Reform projects

Target groups

- National competent authorities in third countries not associated to the Erasmus+ Programme

- Higher Education sector

- Bodies/associations responsible for Higher Education

- Higher Education Institutions

Activities

- **Policy making**
  Policy and expert advice, training on policy issues, establishment of representative bodies etc.

- **Implementation of tools**
  Quality assurance, credit systems, accreditation procedures, recognition etc.

- **Internationalisation**
  Bologna type reforms, surveys & studies etc.

Funding and duration

- 36 or 48 months

- 800.000 and 1.000.000 Euro

European Commission

Types of CBHE projects

European Commission

Three types of CBHE projects

National projects	Multi-country regional projects	Multi-country cross-regional projects
HEIs from one third country not associated to the Erasmus+ Programme	HEIs from several countries not associated to the Erasmus+ Programme from one Region	HEIs from countries not associated to the Erasmus+ Programme belonging to several Regions
At least 2 HEIs from two countries associated to Erasmus+ (EU Member States and other Programme countries)		

Types of CBHE projects by geographical scope

National projects

Relevance of the proposal: proposals need to be relevant for the country and to the need of all HEIs involved

Balanced involvement and clear benefits for all participating HEIs from third countries not associated to the Erasmus+ Programme

Multi-country projects

Relevance of the proposal: needs to be common to all third countries; Clear justification for involvement of more than one Region in a cross-regional project

Balanced involvement and clear benefits for all participating HEIs from countries associated to the Erasmus+ Programme and HEIs from third country not associated to the Erasmus+ Programme

European Commission

New regional priorities

European Commission

Overarching priorities

Green deal	• enabling a profound change in institutions and peoples' behaviour and skills
Digital transformation	• smart, digital technology in the poorest countries by building foundations for digital skills
Migration and Mobility	• making education systems more equitable, inclusion and diversity
Governance, peace, security and human development	• laying the foundations for strengthening active citizenship and building specific expertise of future policy-makers in areas such as democracy, human rights and multilateralism
Sustainable growth and jobs	• foundational skills, 'soft' skills (e.g. problem solving, communication), and Science, Technology, Engineering, Arts and Maths (STEAM), education and employability

European Commission

Regions

Region	Countries
Region 1 - Western Balkans	Albania, Bosnia and Herzegovina, Kosovo*, Montenegro
Region 2 - Neighbourhood East	Armenia, Azerbaijan, Belarus, Georgia, Moldova, Ukraine - Territory as recognised by international law
Region 3 - South-Mediterranean countries	Algeria, Egypt, Israel, Jordan, Lebanon, Libya, Morocco, Palestine*, Syria, Tunisia
Region 4 - Russian Federation	Russia - Territory as recognised by international law
Region 5 - Asia	Bangladesh, Bhutan, Cambodia, China, DPR Korea, India, Indonesia, Laos, Malaysia, Maldives, Mongolia, Myanmar, Nepal, Pakistan, Philippines, Sri Lanka, Thailand, Vietnam
Region 6 - Central Asia	Afghanistan, Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan, Uzbekistan
Region 7 - Middle East	Iran, Iraq, Yemen
Region 8 - Pacific	Cook Islands, Fiji, Kiribati, Marshall Islands, Micronesia - Federated States of, Nauru, Niue, Palau, Papua New Guinea, Samoa, Solomon Islands, Timor-Leste, Tonga, Tuvalu, Vanuatu
Region 9 - Sub-Saharan Africa	Angola, Benin, Botswana, Burkina Faso, Burundi, Cameroon, Cape Verde, Central African Republic, Chad, Comoros, Congo, Congo - Democratic Republic of the, Djibouti, Equatorial Guinea, Eritrea, Eswatini, Ethiopia, Gabon, Gambia, Ghana, Guinea, Guinea-Bissau, Ivory Coast, Kenya, Lesotho, Liberia, Madagascar, Malawi, Mali, Mauritania, Mauritius, Mozambique, Namibia, Niger, Nigeria, Rwanda, Sao Tome and Principe, Senegal, Seychelles, Sierra Leone, Somalia, South Africa, South Sudan, Sudan, Tanzania, Togo, Uganda, Zambia, Zimbabwe
Region 10 - Latin America	Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, El Salvador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, Uruguay, Venezuela
Region 11 - Caribbean	Antigua and Barbuda, Bahamas, Barbados, Belize, Cuba, Dominica, Dominican Republic, Grenada, Guyana, Haiti, Jamaica, Saint Kitts And Nevis, Saint Lucia, Saint Vincent And The Grenadines, Suriname, Trinidad and Tobago

European Commission

New budget type

Lump sum II: approach – basic principles

In the proposal, applicants must provide a **detailed estimation of costs** and a **split of the lump sum per work package and per beneficiary**

Evaluators assess cost details during evaluation and make recommendations if needed

Based on this, the **lump sum is fixed during grant preparation**

European Commission

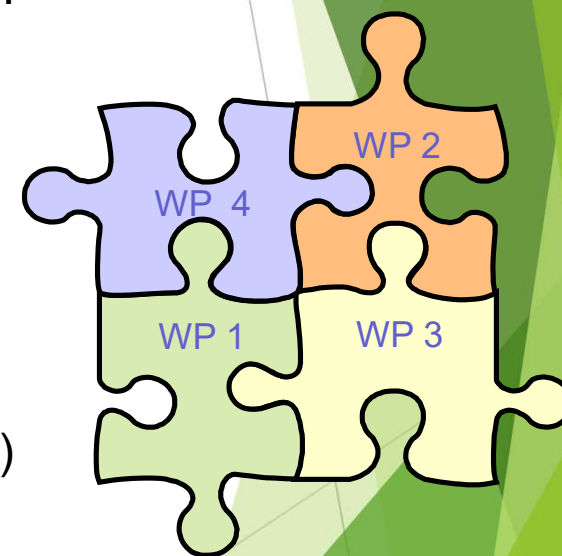# Lump sum II: work packages (1)

As many as needed but no more than what is manageable

'Work package means a major sub-division of the proposed project.'
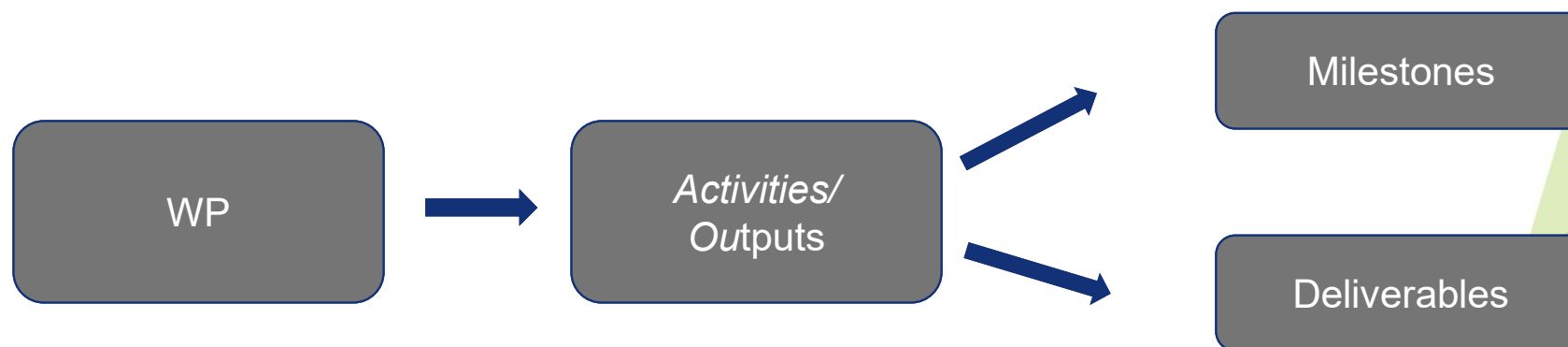
Therefore:

- ✖ A single activity is not a WP
- ✖ A single task is not a WP
- ✖ A % of progress of work is not a WP (e.g. 50 % of the tests)
- ✖ A lapse of time is generally not a WP (e.g. activities of year 1)

Horizontal work packages (e.g. management, dissemination and exploitation, etc.) may be approached differently

Disclaimer: Information not legally binding

European Commission

Lump sum II: work packages (2)

➢ Applicants will divide their projects in WPs and reflect this division in the budget attached to the applications

➢ Each work package will define the activities/outputs and the corresponding deliverables

WP → *Activities/ Outputs* → Milestones / Deliverables

Application procedure

How to apply

➢ The call will be published in F&TOPortal: https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/home

➢ Regional priorities will be published in F&TOPortal

➢ Check for **eligibility** and **award criteria** in the Erasmus+ Programme Guide (publication foreseen as of mid-November 2021)

Thank you

European Commission