

Presentation of the Erasmus+ call for proposals 2017

Focus: Capacity Building in Higher Education

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 Erasmus+

GEFÖRDERT VOM
 Bundesministerium
für Bildung
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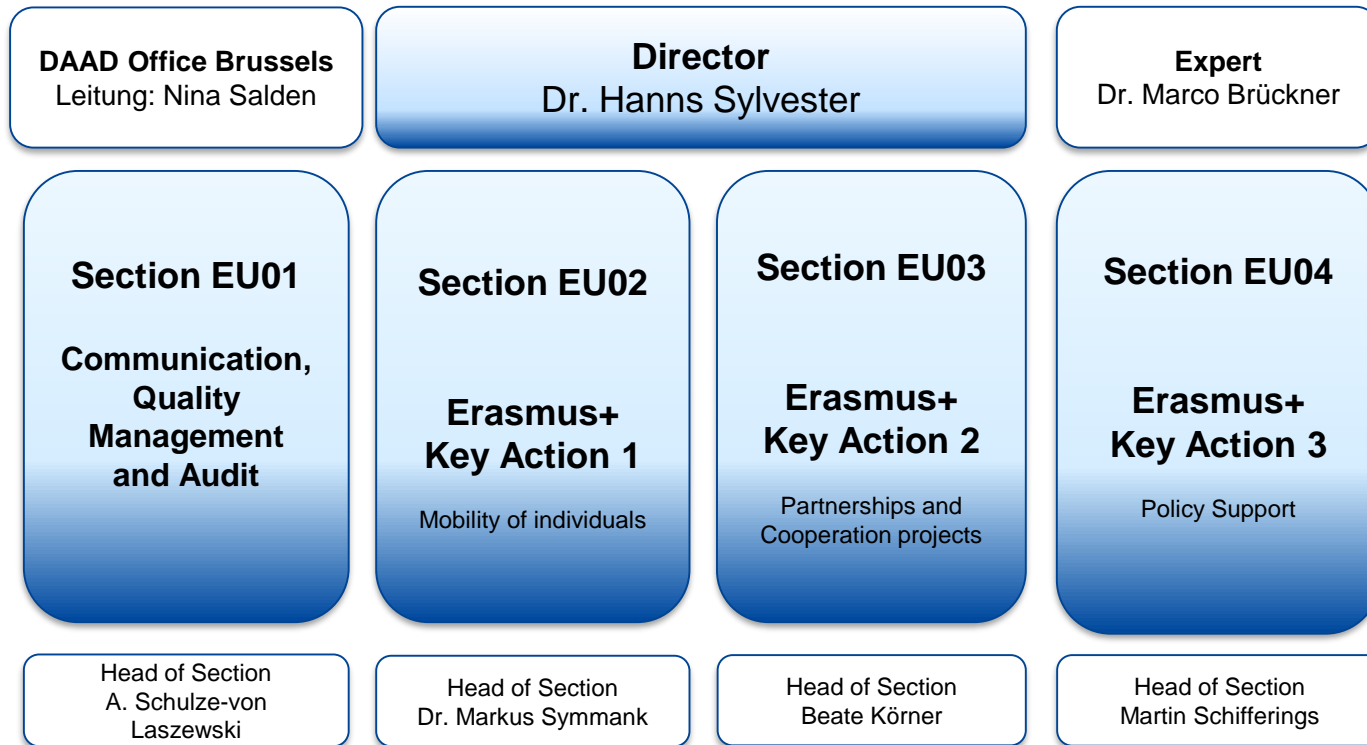
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4. How to write a competitive proposal?

National Agency for EU Higher Education Cooperation (NA DAAD)



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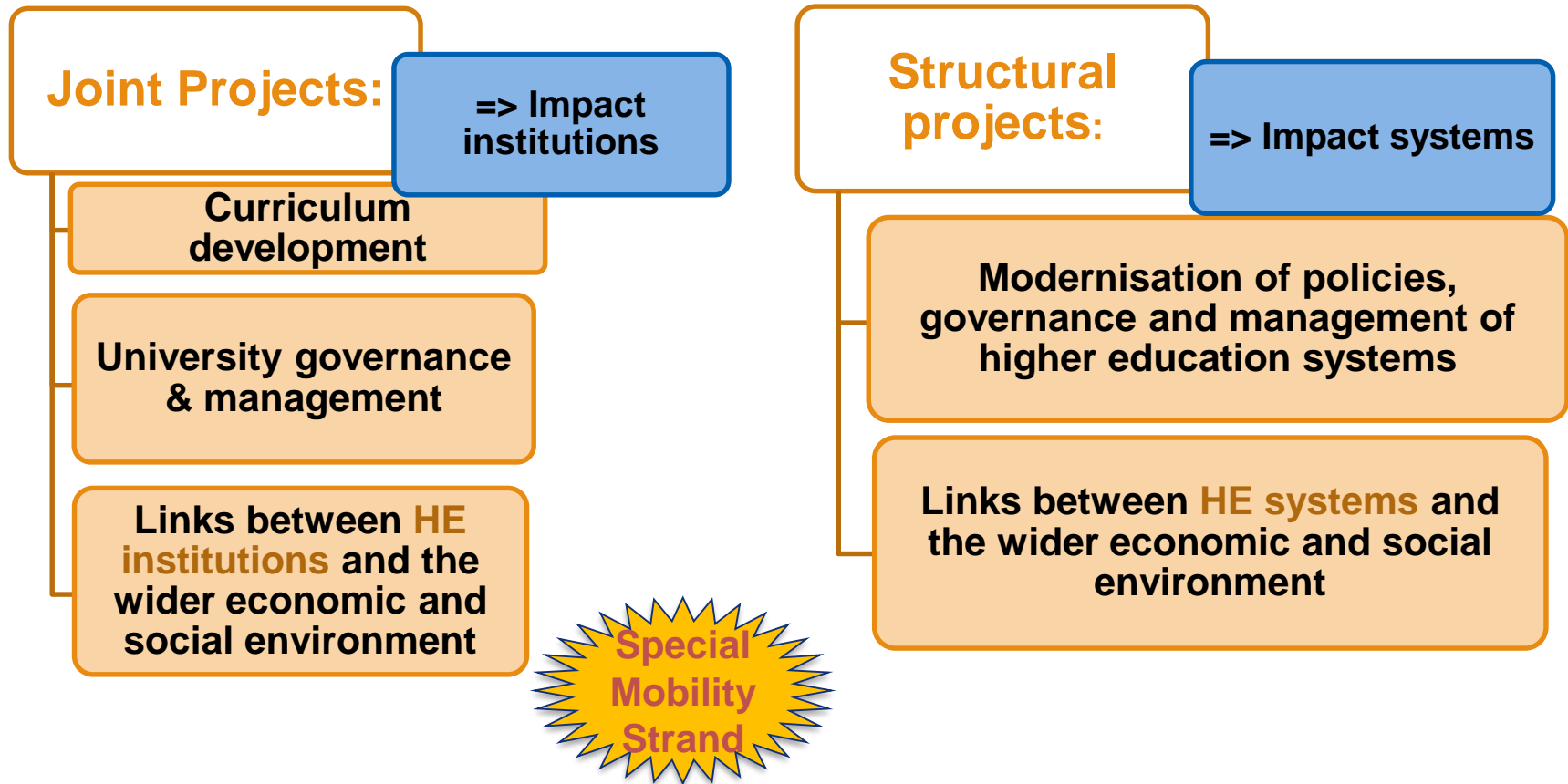
Capacity Building in Higher Education

1. Basics

Capacity Building in the field of Higher Education (CBHE)

- **Capacity-Building Projects are:**
 - transnational cooperation projects
 - between **higher education institutions (HEIs)**
 - from **Programme and (eligible) Partner Countries (<150),**
 - aiming at **modernizing the Partner Countries' higher education institutions and systems.**
- 1.000 projects to be supported between 2014 – 2020

Capacity building – types of projects



For each call for proposals, **national priorities** for partner countries and/or **regional priorities** for partner regions are defined. Projects have to address one applicable priority.

Special Mobility Strand



Partner Countries eligible (ONLY):

Region 1: Western Balkans

Region 2: Eastern Partnership countries

Region 3: South-Mediterranean countries

For whom?

Students registered in HEIs involved in project consortia

Staff employed in a HEI or enterprise in project consortia

Programme Country to Programme Country flows are **ineligible**

Conditions:

Mobility should be instrumental and integrated in projects objectives (no mobility on its own)

Added value and/or innovative character of the activities proposed (approx. 40% of the selected projects will receive additional funding)

Comply with provisions of Erasmus Charter for Higher Education

Who can apply?

Applicant / coordinator:

- **higher education institution** (HEI)
- **association** or **organisation of HEIs**
- legally recognised **national** or **international rector, teacher or student organisation** (for **Structural Projects ONLY**)

Eligible participating organisation / partner organisation:

- **higher education institution** (HEI)
- any **public** or **private organisation** active in the **labour market** or in the fields of **education, training and youth**

Each participating organisation must be **located** in a **Programme Country** or in an **eligible Partner country**.

Associated partners can contribute to the implementation of **specific project tasks/activities** or support the **dissemination** and **sustainability** of the project **without receiving funding**.

Capacity building projects – Consortium Structure

PROGRAMME COUNTRIES

Min. 3 Countries
min. 1 HEI each

PARTNER COUNTRIES

Min. 1 Country
min. 2 HEI /each

At least as many
Partner Country HEIs as Programme Country HEIs

STRUCTURAL PROJECTS:

Partner Country
Ministries for HE
must participate

ATTENTION: Exception Russia, Latin America, Syria, Libya

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2. Budget

Budget and duration

**Duration 24 or
36 Months**

**Min. 500,000 - Max.
1,000,000 €**

**Excluding
Mobility
Strand**

**Real Costs
and Unit Costs**

5 Budget Headings

Budget Categories

Staff costs (max 40%)

4 Staff Categories (Manager, Researcher/Teacher/Trainer, Technician, Administrator)

Travel costs

Students/staff from partners from their place of origin to the venue of the activity and return. Activities and related travels must be carried out in **countries** involved in the **project**.

Costs of stay

Subsistence, accommodation, local and public transport, personal or optional health insurance.

Equipment (max 30%)

Exclusively for the benefit of HEIs in the Partner Countries

Sub-contracting (max 10%)

For services /competences that can't be found in the consortia

Unit costs & real costs

5 Budget Categories

Staff - UC

Travel - UC

Cost of Stay - UC

Equipment - RC

Sub-contracting - RC

2 Methods

Real Costs
(RC)

Unit Costs
(UC)

Other types of costs

(ex.: dissemination, publishing, overheads costs) are not considered for the calculation of the grant

>>> Expected to be **covered by co-funding**

Staff costs

Financing mechanism for staff costs	Amount	
<p style="text-align: center;">Unit costs</p> <p>Subdivided in 4 categories and country groups (4 groups for Programme and 4 groups for Partner Countries)</p>	per manager involved per day	<p>Max. 40% of the total grant</p>
	per researcher/ teacher/trainer involved per day	
	per technician involved per day	
	per administrative staff involved per day	

Costs of Stay & Travel Costs

Costs of Stay

DAYS	STAFF	STUDENTS
1-14	120€	55€
15-60	70€	-
15-90	-	40€
61 - 90	50€	-

Travel Costs

Distance Bands	Unit Cost
10-99 km	20€
100-499 km	180€
500-1999 km	275€
2000-2999 km	360€
3000-3999 km	530€
4000-7999 km	820€
8000 km and more	1.100€

Maximum EU grant for the Special Mobility Strand



Up to max. 80% of the total EU grant awarded for the joint or structural project (excluding the mobility strand)

Travel costs (students and staff)

Subsistence costs

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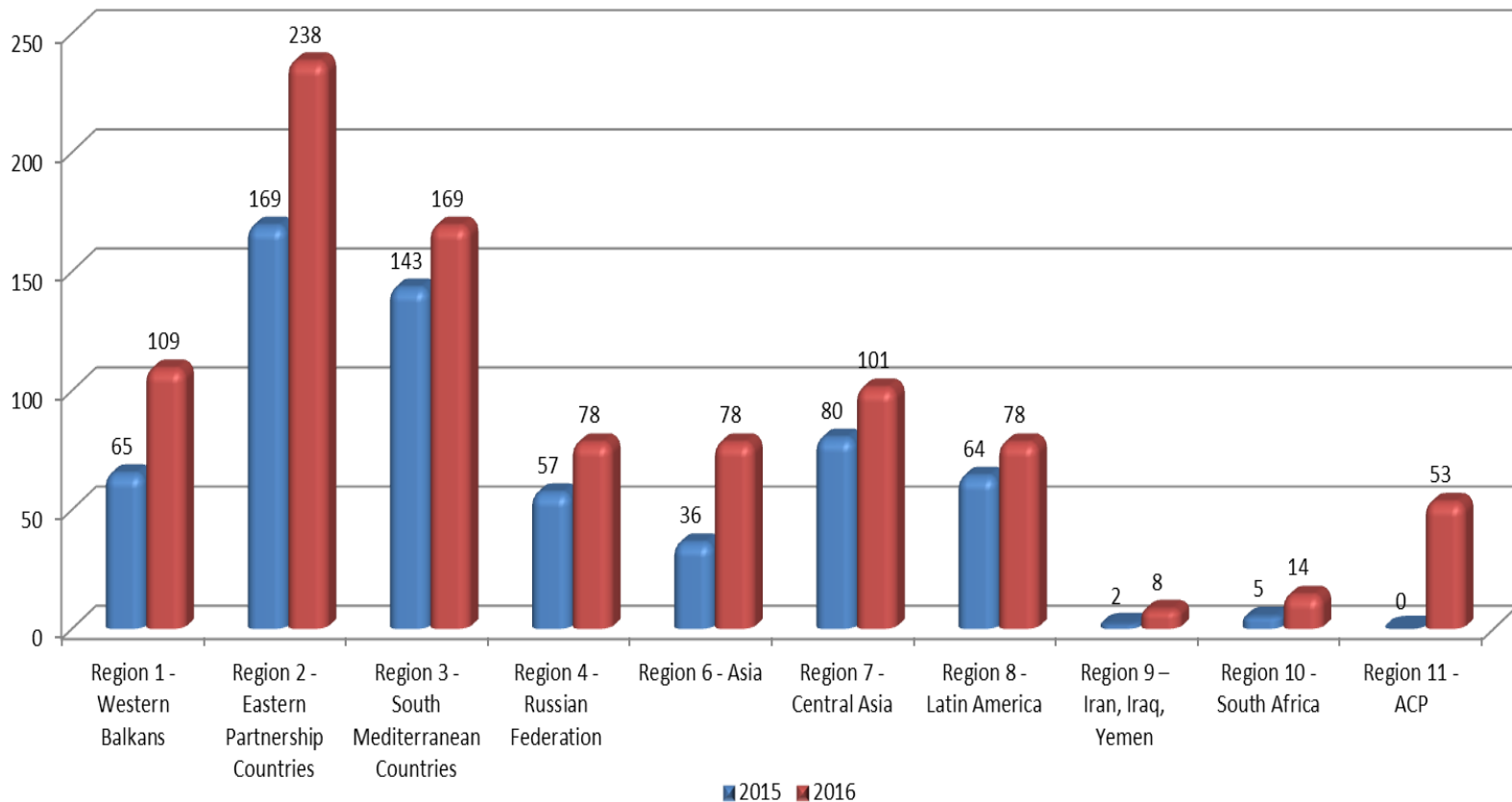
3. Statistics & Outlook

Selection results 2016

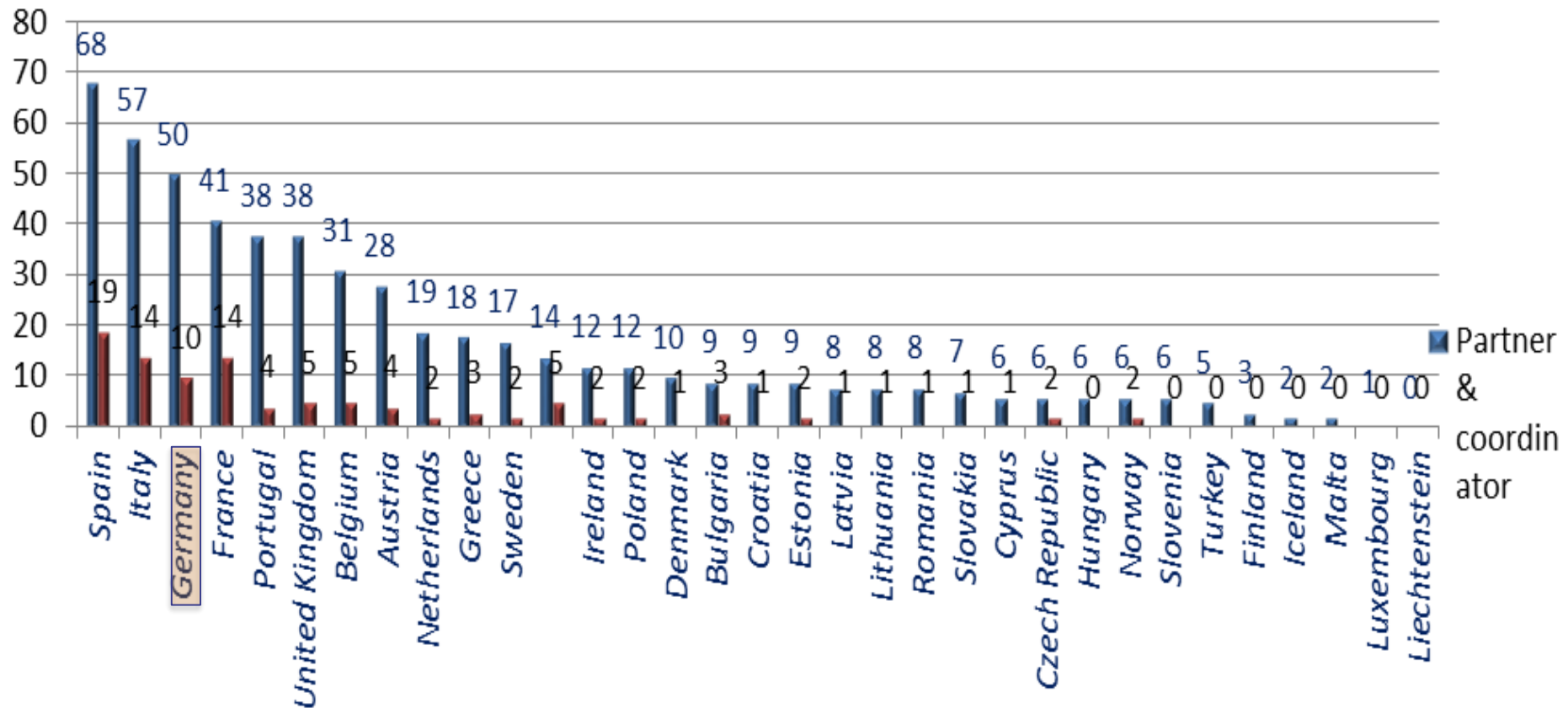
Stage	1 Western Balkans	2 Eastern Partners hip	3 South Med	4 Russian Federa- tion	6 Asia	7 Central Asia	8 Latin America	9 Iran, Iraq, Yemen	10 South Africa	11 ACP	Total*
Reception	109	238	169	78	78	101	78	8	14	53	736
Eligible	93	219	146	77	68	93	72	8	13	42	653
Sent to Consultation	37	64	80	35	57	49	31	5	6	19	287
Proposed for funding	18	24	36	16	53	19	14	4	5	9	147
Success rate	17%	10%	21%	21%	68%	19%	18%	50%	36%	17%	20%
<i>(Selected project in 2015) % 2016 as compared to 2015</i>	(15) +20%	(23) +4%	(40) -10%	(13) +23%	(27) +96 %	(23) -17%	(19) -26%	(2) +100%	(4) +25%	(0) -	(140) +5%

* The number of projects by region cannot be added considering that a project can cover different regions.

Selection: evolution of the applications (2015-2016)



Participation of the Programme countries in the 2016 selected projects



Indicative Budget for the 3rd Call

Region	Budget allocated 2016 (in Mio €)	Budget allocated 2017 (Indicative) (in Mio €)	Indicative number of selected projects under the assumption of national projects)
REGION 1 - Western Balkans	13,17	13,82	17
REGION 2 - Eastern Partnership countries	13,86	13,51	16
REGION 3 - South-Mediterranean countries	28,57	27,84	35
<i>Additional allocation for Tunisia*</i>	0	3,00	4
REGION 4 - Russian Federation	6,89	6,72	8
REGION 6 - Asia	35,38	39,55	48
REGION 7 - Central Asia	9,2	8,80	11
REGION 8 - Latin America	13,1	13,20	16
REGION 9 - Iran, Iraq, Yemen	1,9	2,00	2
<i>Specific allocation for Iran</i>	0,69	0,69	1
REGION 10 - South Africa	3,42	3,91	5
REGION 11 - ACP countries	5,29	5,64	8
TOTAL	131,47	138,68	
*An additional allocation for Tunisia might become available subject to the relevant Commission decisions being taken.			

4. How to write a competitive proposal?

Tips for project application

- Formal requirements

Application Requirements

- Submission on-line to EACEA
- eForm & Annexes
- Criteria
 - Eligibility Criteria
 - Exclusion Criteria
 - Selection Criteria
 - Award Criteria

Deadline: 9th February 2017
12h

Eligibility criteria

- Most of eligibility criteria are integrated into in the e-form.
- Following criteria are double checked by the Agency staff:
 - Formal submission requirements
 - Grant size (and duration)
 - Applicant, Partners and Partnership requirements (number of partners, status of the grant applicant & partners, etc.)

It does not matter how well the project has been designed if it does not fulfil the eligibility criteria: this will lead to the **automatic rejection** of the proposal

Application form

When? How? Where?

- **One deadline - One-phase submission - on-line to EACEA**
- **Application form = unique reference information for the submission deadline.**

What?

- **Specific application form:**

eForm: project data – parts A, B, C

+ **compulsory** annexes:

- ✓ **Detailed project description** (Word doc) – parts D, E, F, G, H, I, J
- ✓ **Budget tables** (Excel doc)
- ✓ **Declaration of Honour+ Mandates** (in one single PDF doc)

Declaration of Honours + Mandates (PDF)

- **Declaration of Honours – only from the Applicant organisation**
- **Mandates – from all partners (except for P1 – Applicant)**
 - not required for Associated Partners (if applicable)
 - must be scanned and attached in one single document, with Mandates keeping the order as in List of Partners (P1-Pn)

Tips for project application

- Award criteria

Award Criteria: 1. Relevance (30 points)

DEFINITION

- *The project contributes to the achievement of the policy objectives of the participating partners*
- *It is based on and addresses real needs & problems of the target groups*



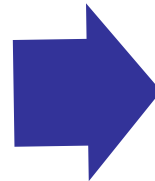
CONTENT

- How clearly the project addresses the Programme **objectives** and **priorities (thematic, geographical priorities: National / Regional)**
- **Needs analysis** and presentation of **specific problems** addressed
- Definition of **target groups**
- What is **innovative** or complementary to other initiatives
- How the **project was prepared**

Award criteria: 2. Quality of Design and Implementation (30 points)

DEFINITION

- *The activities proposed are appropriate to achieve the specific and wider objectives*
- *It uses the most appropriate methodology*
- *It demonstrates a logical and sound planning capacity*



CONTENT

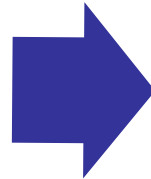
Description of the project as a whole, including:

- **specific objectives**
- **activities**, expected **outcomes**, wider and specific objectives
- academic **content** and pedagogical **approach**
- **involvement** of academics, students and stakeholders at large
- **quality control** processes

Award criteria: 3. Quality Team and Cooperation (20 points)

DEFINITION

- *The partnership includes all the skills, recognised expertise and competences required*
- *Suitable distribution of tasks*
- *Sound communication and coordination*



CONTENT

- Presentation of the **partners competences** and **roles** in the project
- Description of any **complementary skills**, expertise and competences directly relating to the planned project activities
- ensure **regional dimension**
- Planned measures to ensure effective **communication**

Award criteria: 4. Impact and sustainability (20 points)

DEFINITION

- *Information/outcomes of the project are made available to groups not directly involved (multiplier effect)*
- *Optimal use of the results during & beyond the project lifetime*
- *Expected impact will be substantial and sustainable in the long term (financial, institutional and policy level)*



CONTENT

- Expected **impact** at different levels
- **Dissemination strategy**: outputs to be disseminated, target groups, dissemination tools & activities
- **Measures** planned to ensure the sustainability of project outcomes and outputs at **three levels**: financial, institutional and political
- **Evidence of impact** on HE at institutional / national level in PCs

A successful proposal ...

- demonstrates that the combination of all its elements will produce concrete and sustainable results for the benefit of all the parties concerned.
- Has been prepared and agreed jointly by the partnership-> a joint institutional commitment
- Has received the full commitment and support of the participating institutions.
- Is ready to start immediately after the selection decision.

Working together ...

➤ Start locally

- In your institution
(other colleagues, services, departments, authorities)
- Among local stakeholders (other universities, education providers, employers, local / regional / national authorities, **NEOs in Partner Countries**)

➤ International partners

- Colleagues/Organisations you/your partners have worked with in the past
 - **Partners are not individuals, they are organisations**

➤ Each partner **must**

- Bring a concrete added value to the project
- Gain a concrete added value from the project

- Cooperation is based on trust, confidence and on formalised agreements

Working together ...

- Drafting the proposal is a shared exercise
- It reflects the partners shared responsibilities at project implementation stage
- It requires regular revisions/rewriting in order to ensure
 - ✓ *Consistency and coherence*
 - ✓ *Comprehensiveness*
 - ✓ *Fulfilment of all the E+ Action requirements*
- **Choose the language** common/comfortable to all project partners
- Have your final draft **proofread** by a colleague not involved in the proposal

Key messages for the 3rd Call

- Keep on intensifying efforts to encourage the **cooperation with Asian countries**;
- Disseminate widely the new opportunity to **cooperate with ACP countries**, in particular Caribbean and Pacific Countries;
- Pay particular attention to the **eligibility criteria**, mainly minimum number of nationally recognised HEIs in consortia;
- ✓ Insist on the need to **empower the Partner Country partners from the early stages of the proposal preparation**;
- ✓ Be **innovative** in the content and methodologies described in your application.

Wo finde ich Informationen?

- Deadline: **09. Februar 2017**
- Webseite mit allen wichtigen Infos zum aktuellen Aufruf:
http://eacea.ec.europa.eu/erasmus-plus/funding/capacity-building-in-field-higher-education-2017_en
- Programme Guide (Version 1) 2017: Seiten 148-169, 300-308, 309-314
http://ec.europa.eu/programmes/erasmus-plus/resources_en
- National Erasmus+ Offices (NEOs) in certain Partner Countries (PCs)
https://eacea.ec.europa.eu/erasmus-plus/contacts/national-erasmus-plus-offices_en
- Datenbank mit bewilligten Projekten (Erasmus+ und Vorgängerprogramme u.a. Tempus): <http://ec.europa.eu/programmes/erasmus-plus/projects/>

Kapazitätsaufbauprojekte – Ansprechpartner

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bewegt Europa!

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